



Falcon Blockchain Solutions

Private and institutional clients of Falcon Private Bank (Falcon) can benefit from **regulated, secure and convenient** banking solutions for blockchain assets.

Falcon has developed audited processes allowing to execute a broad range of transactions with blockchain assets - in line with regulatory and compliance requirements. Clients can purchase cryptocurrencies via the bank, directly transfer them to and from their segregated Falcon wallets, and convert them into fiat currencies.

Trading orders can be placed conveniently through e-Banking or a dedicated relationship manager. Blockchain assets are included in portfolio statements which can be used for tax reporting. In addition to ensuring best execution, the bank also provides secure storage through Falcon's proprietary custody solution.

Reflecting client needs, Falcon's offering comprises three combinable services:

Direct investment in blockchain assets

Invest easily and securely in blockchain assets by buying them via the bank. Open an investment account funded with fiat currency and conveniently purchase cryptocurrencies via e-Banking or a relationship manager. Benefit from the support of Falcon's blockchain specialists.

Direct transfer of blockchain assets

Make your blockchain assets bankable by transferring them to a segregated wallet at Falcon. Buy additional cryptocurrencies via the bank, exchange them for fiat money or transfer them to a personal external wallet. Digital assets are included in portfolio statements like any other asset.

Managing wealth originating from blockchain assets

Falcon accepts wealth originating from blockchain assets converted into a fiat currency. Invest in a broad range of traditional and innovative financial instruments that match your investor type and preferences. Create a unique diversified portfolio by combining traditional and digital assets.

Blockchain asset manager and custodian	Falcon Private Bank Ltd.
Investment types ¹⁾	<ul style="list-style-type: none"> • Direct investments in blockchain assets • Direct transfer of blockchain assets • Managing wealth originating from blockchain assets
Blockchain assets for Direct investments	Bitcoin, Bitcoin Cash, Ether, Litecoin
Blockchain assets for Direct transfers	Bitcoin, Bitcoin Cash, Ether, Litecoin
Position type	Long only

¹⁾ A Falcon Private Bank BCP class 1 waiver must be signed in advance.

+ Client benefits

- Falcon conducts a due diligence process for cryptocurrencies to ensure that blockchain assets are legit
- Blockchain assets are included in the official portfolio statements which can be used for tax reporting
- Cryptocurrencies are held on individual wallets and booked as segregated assets (like other securities)
- Blockchain assets are stored and protected by the bank's proprietary custody solution audited by an independent security company

- Risk profile and risk factors

- Cryptocurrencies are categorized as a high-risk investment
- Market volatility
- Liquidity
- Blockchain asset price fluctuation may offset potential profits and/or leverage potential losses

Features of our proprietary custody solution

- Protection of private keys via FIPS-compliant, high-security devices that are never exposed to the internet
- Multi-signature transactions, using proven cryptographic methods, are enforced for all supported blockchain assets
- Risk management in accordance with Swiss banking standards, including segregation of duties for all processes, access control, physical security, IT security, financial risk management and business continuity planning
- Audited in accordance with Swiss banking standards
- Hardware, software and codebase have all been reviewed by an independent Swiss IT security company

Due diligence and regulatory compliance

Client-owned cryptocurrencies transferred directly to the bank undergo a due diligence procedure using tools specifically designed to analyse the blockchain transaction history. Falcon is able to verify both “freshly-mined” blockchain assets and coins with transaction history. This verification adds additional security and protection and ensures compliance with Swiss anti-money laundering (AML) and know your client (KYC) laws and regulations.

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